

In The United States Patent and Trademark Office

In re Application of: Whitfield

Docket No.: ADEX0001

Serial No.: 09/472,100

Art Unit: 3621

Filed: 20 December 1999

Examiner: Kambiz, Abdi

Title: Secure System for the Issuance, Acquisition, and Redemption of Certificates in a Transaction Network

20 October 2006

Commissioner for Patents

Mail Stop Amendment

P.O. Box 1450

Alexandria, VA 22313-1450

**CERTIFICATION FOR
INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(e)**

Dear Examiner:

A certification is being made for the Information Disclosure Statement accompanying this certification.

CERTIFICATION

I, the person signing below, certify

() that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. (37 CFR 1.97(e)(1))

(x) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the basis of the information:

- () supplied by the inventor(s)
- () supplied by an individual designated in §1.56(c)
- (x) in the attorney's file

Respectfully submitted,



JULIA A. THOMAS
Reg. No. 52,283

Customer Number 22862

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Whitfield

Docket No.: ADEX0001

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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97(c) together with either a:
 - () Certification under 37 CFR 1.97(e), or
 - () a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- (X) under 37 CFR 1.97(d) together with a:
 - (X) Certification under 37 CFR 1.97(e), and
 - (X) a \$180.00 fee under 37 CFR 1.17(d)(2)(ii), and
 - (X) a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

(X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. ADEX0001).

(X) Applicant(s) submit herewith Form PTO SB/08 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



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Customer No. 22862

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Substitute for form 1449PTC

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of	5
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Complete if Known

Application Number	09/472 100
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Filing Date	12/20/1999
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First Named Inventor	Henry Whitfield
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Art Unit	3624
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Art Unit	3621
Examiner Name	Ahdi Kamhiz

Examiner Name	ADU, Rami
Attorney Docket Number	ADEX0001

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	Foreign Patent Document Country Code* Number* Kind Code* (if Applicable)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	†
	A	EP 0833285	09-1997	Xerox Corp.		
	B					

Examiner:
Signature

11. David J. Reardon, "The Role of the State in the Development of the American Economy," *Journal of American Studies*, 19 (1985), 1-22.

Considered

***EXAMINER:** Indicate if reference considered, whether or not checked, is in conformance with USPTO 809. Draw line through check (if not in conformance and not considered). Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 501.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). *For European patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.57 and 1.58. The information is required to obtain or retain a benefit by the public; which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 422 and 422a. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 09/472,100

Filing Date 12/20/1999

First Named Inventor Henry Whitfield

Art Unit 3621

Examiner Name Abdi, Kambiz

Attorney Docket Number ADEX0001

Sheet

2

of

6

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	1	http://www.ticketweb.com	
	2	http://www.citium.com	
	3	http://www.craigslist.org	
	4	http://www.gartner.com/DisplayDocument?	
	5	www.trellix.com/	
	6	http://www.sentoacher.org/wk/certificates.php	
	7	"Ticketmaster.com to offer PC-printable tickets", Greg Sandoval, CNET News.com, 01/24/2000, http://news.com.com/2100-1017-236020.html	
	8	http://www.landango.com	
	9	http://www.giftcertificates.com/	
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Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

† 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 3

of

6

Application Number	09/472,100
Filing Date	12/20/1999
First Named Inventor	Henry Whitfield
Art Unit	3621
Examiner Name	Abdi, Kambiz
Attorney Docket Number	ADEX0001

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	11	http://www.webcertificate.com/webcert/	
	12	http://www.pajamagram.com/giftcertificates.html	
	13	http://store.thotech.org/tecmusgiftcer.html	
	14	http://www.dealcatcher.com/coupons-view_type=1-category=54	
	15	http://www.givefun.com/	
	16	http://www.quickgifts.com/give.asp	
	17	http://www.parkingticket.com/giftcertificates/	
	18	http://lap.stores.yahoo.net/giftcertificates.html	
	19	"Catality Receives Notice of Allowance on Major U.S. Patent; 3rd in Patent Stream from Innovative Loyalty Software Solutions Company", CR80News, Detroit Business Wire, 01/13/2003 http://www.cr80news.com/news/2003/01/13/catality-receives-notice-of-allowance-on-major-u-s-patent-3rd-in-patent-stream-from-innovative-loyalty-software-solutions-company/	
	20	"Ulix Group hopes new patent is ticket to new growth", Lisa van der Pool, Boston Business Journal, 07/28/05, http://boston.bizjournals.com/boston/stories/2006/07/31/story7.html?i=51310&b=1154318400%5E1322507	

Examiner
SignatureDate
Considered

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language translation is attached. The collection of information is required by 37 CFR 1.56. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(Use as many sheets as necessary)

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Application Number	09/472,100
Filing Date	12/20/1999
First Named Inventor	Henry Whitfield
Art Unit	3621
Examiner Name	Abdi, Kambiz
Attorney Docket Number	ADEX0001

Sheet 4

of 6

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	21	http://worldgoingby.com/pocketsnaps/gc.html	
	22	http://postfuture.com/pfweb/products_printathome.asp	
	23	http://www.desori.com/gifts.php	
	24	http://www.internetcash.com/igov0,1383,buy,00.html	
	25	http://www.telsera.net/pdf/company_brochure.pdf	
	26	http://72.14.203.104/search?q=cachet:io8FPXSqEM:www.enrhc.com/pdfs/ids_ms_commercecare.pdf+prepaid+downloadable+%22patent+pending%22&hl=en&gl=us&cl=cn&cd=3 http://thoragoby	
	27	https://www.secretcashcard.com/index.php?kbid=1355	
	28	http://www.alprintnetworks.com/news.html	
	29	http://www.mbo.com/web/index.jsp	
	30	http://www.nytheatre.com/nytheatre/vc.htm	

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If you need assistance in completing the form, call 1-800-PTO-6199 (1-800-766-9199) and select option 2

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Sheet 5 of 6

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	31	http://www.clicknprinttickets.com/	
	32	http://www.says-it.com/concertticket/	
	33	Wireless Point of Sale Terminal for Credit and Debit Payment Systems, Conference Proceedings, IEEE Canadian Conference on Electrical and Computer Engineering, (1998)	
	34	Is Off-line Debit about to Derail?, ABA Banking Journal, vol. 89, no. 9, p. 66,68,70 (Sept. 1997)	
	35	www.gifttracker.com	
	36	www.webcertificates.com	
	37	www.flooz.com	
	38	1998: Year of the Debit Card, Bank Systems & Equipment, vol. 24, no. 11, p. 16-18 (Nov. 1987)	
	39	*GiftPoint.com: Specializing in Corporate Gift Certificates, January 20, 2000, M.A. Mills, InternetNews.com	
	40	"Where to Find... Gift Certificates", Specials and Freebies, November 1999, Vol. 5, Issue 11, Smart Computing	

Examiner Signature	Date Considered
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	41	"GiftPoint.com Goes With Be Free Affiliate Program", June 9, 1998; Beth Cox - InternetNews.com; http://www.internetnews.com/bus-news/article.php/269791	
	42	http://storagebymail.com/company.php	
	43	Russ LYNCH; Honolulu Star Bulletin Business, "Travel agency fights ATM coupons" September 15, 1998	
	44	Russ LYNCH; Honolulu Star Bulletin Business; "Bankoh loses round in ATM air coupon hearing" October 23, 1998	
	45	Hawaiian Airlines News Release; Business Wire; Hawaiian Airlines Interisland Flight Coupons to be Sold at Selected Automated Teller Machines, January 8, 1998	
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